

FORM PTO-1390 US DEPARTMENT OF COMMERCE REV. 5-93 PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEYS DOCKET NUMBER P01,0020
		U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 09/787698
INTERNATIONAL APPLICATION NO. PCT/DE99/02846	INTERNATIONAL FILING DATE 08 SEPTEMBER 1999	PRIORITY DATE CLAIMED 23 SEPTEMBER 1998
TITLE OF INVENTION METHOD AND APPARATUS FOR DETERMINING A SEQUENCE OF ACTIONS FOR A SYSTEM		
APPLICANT(S) FOR DO/EO/US RALF NEUNEIER ET AL.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay. 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11. to 16. below concern other document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report, References). 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included. (SEE ATTACHED ENVELOPE) 13. <input checked="" type="checkbox"/> Amendment "A" Prior to Action. <ol style="list-style-type: none"> <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input checked="" type="checkbox"/> A substitute specification and substitute specification mark-up. 15. <input checked="" type="checkbox"/> A change of address letter attached to the Declaration. 16. <input checked="" type="checkbox"/> Other items or information: <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> Submission of Drawings b. <input checked="" type="checkbox"/> EXPRESS MAIL #EL 843728583 US dated March 21, 2001. 		

U.S. APPLICATION NO. 09/787688

INTERNATIONAL APPLICATION NO.
PCT/DE99/02846INVENTOR'S DOCKET NUMBER
1,002017. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$710.00

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$1000.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims

Number Filed

Number
Extra

Rate

Total Claims

21

- 20 =

1

X \$ 18.00

\$ 18.00

Independent Claims

02

- 3 =

0

X \$ 80.00

\$

Multiple Dependent Claims

\$270.00 +

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 878.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$ 878.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +

\$

TOTAL NATIONAL FEE =

\$ 878.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

\$ 878.00

Amount to be
refunded

\$

charged

\$

a. ☒ A check in the amount of \$878.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **50-1519**. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:SCHIFF HARDIN & WAITE
PATENT DEPARTMENT
6600 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6473

SIGNATURE

Steven H. Noll
NAME28,982
Registration Number

CUSTOMER NUMBER 26574

09/787698
IC02 Rec'd PCT/PTO 21 MAR 2001

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Mailing Label Number EL 843728583 US

Date of Deposit: March 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

**BOX PCT
Assistant Commissioner for Patents
Washington DC 20231**

**Case Number: P01,0020
Applicant(s): Ralf Neuneier et al.**

**International Application No. PCT/DE99/02846
International Filing Date 08 SEPTEMBER 1999
Priority Date Claimed 23 SEPTEMBER 1998**

**Title: METHOD AND ARRANGEMENT FOR DETERMINING A SEQUENCE
OF ACTIONS FOR A SYSTEM**

Enclosed are the following documents:

International application as filed;
English Translation;

Executed Declaration;
Change of Address form for Applicants' Representative;

PTO 1390 in duplicate;
Amendment "A" prior to action;
Substitute Specification and Substitute Specification mark-up;

Information Disclosure Statement, PTO 1449, Search Report, References;

Submission of Drawings;

Fee: \$878.00;
Postcard.

**(See attached envelope for Executed Assignment;
PTO 1595; \$40.00 filing fee; Postcard)**


Signature of person mailing documents and fees

BOX PCT

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY – CHAPTER II

SUBMISSION OF DRAWINGS

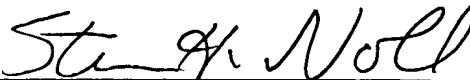
APPLICANT(S): NEUNEIER, R., et al.
ATTORNEY DOCKET NO: P01,0020
INTERNATIONAL APPLICATION NO: PCT/DE99/02846
INTERNATIONAL FILING DATE: 8 SEP 1999
INVENTION: METHOD AND ARRANGEMENT FOR
DETERMINING A SEQUENCE OF
ACTIONS FOR A SYSTEM

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants herewith submit four drawing sheets, showing Figures 1 – 6, in
the captioned PCT application.

Respectfully submitted,


Steven H. Noll (Reg. No. 28,982)

SCHIFF, HARDIN & WAITE
Patent Department
6600 Sears Tower
233 South Wacker Drive
Chicago, IL 60606
Telephone: (312) 258-5790
Attorneys for Applicant(s)

Customer Number: 26574

09/787698

BOX PCT
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

24
A H
7/1/01

CHANGE OF ADDRESS OF APPLICANTS' REPRESENTATIVE

APPLICANT(S): RALF NEUNEIER, ET AL.
ATTORNEY DOCKET NO.: P01,0020
INTERNATIONAL APPLICATION NO: PCT/DE99/02846
INTERNATIONAL FILING DATE: 8 SEP 1999
METHOD AND ARRANGEMENT FOR DETERMINING A SEQUENCE OF
INVENTION: ACTIONS FOR A SYSTEM

Assistant Commissioner for Patents,
Washington D.C. 20231

S I R:

Members of the firm of Hill & Simpson designated on the original Power of Attorney have merged into the firm of Schiff Hardin & Waite. All future correspondence for the above-referenced application therefore should be sent to the following address:

SCHIFF HARDIN & WAITE
Patent Department
6600 Sears Tower
233 South Wacker Drive
Chicago, Illinois 60606-6473

CUSTOMER NUMBER 26574

Submitted by,

Steven H. Noll (Reg. No. 28,982)

Steven H. Noll
SCHIFF HARDIN & WAITE
Patent Department
6600 Sears Tower
Chicago, Illinois 60606-6473
Telephone: (312) 258-5790
Attorneys for Applicants
CUSTOMER NUMBER 26574

→